

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Gregg R. Olin**

Serial No. : **09/731,174**

Cnfrm. No. : **To Be Assigned**

Filed : **December 6, 2000**

For : **A METHOD AND SYSTEM FOR THE CREATION OF A CLASS OF
LOAN SECURITIES**

TRANSMITTAL LETTER

Assistant Commissioner For Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d), which was mailed by the United States Patent and Trademark Office on **January 22, 2001**, enclosed are:

- ☒ A Combined Declaration and Power of Attorney.
- ☒ A Request for One-Month Extension of Time.
- ☒ Submission of Formal Drawings (**3 sheets**)
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)
- ☒ A copy of the Notice to File Missing Parts.
- ☒ A self-addressed, prepaid postcard for acknowledging receipt.
- ☒ Fees as calculated below:

FEE FOR ONE-MONTH EXTENSION OF TIME	\$55.00
SURCHARGE 37 CFR 1.16(e)	\$65.00
ADDITIONAL FEES	\$ 0.00
TOTAL FEES SUBMITTED HERewith	\$65.00

- ☒ Checks totaling the amount of **\$120.00** to cover the above fees.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Date: April 2, 2001

Gunnar G. Leinberg
Gunnar G. Leinberg
Registration No. 35,584

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Clinton Square, P.O. Box 31051
Rochester, New York 14603
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Facsimile: (716) 263-1600

R458089.1

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.	
Date	<u>4/2/01</u>
	<u>Sherri A. Moscato</u> Sherri A. Moscato

#3



PATENT
Docket No.: 21764/161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gregg R. Olin

Serial No. : 09/731,174

Cnfrm. No. : 9101

Filed : December 6, 2000

For : A METHOD AND SYSTEM FOR THE
CREATION OF A CLASS OF LOAN
SECURITIES

Examiner:
To Be Assigned

Art Unit:
2164

SUBMISSION OF FORMAL DRAWINGS

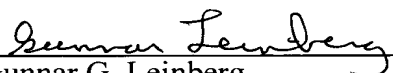
Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

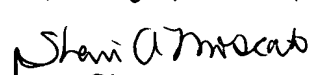
Enclosed for filing in the subject application are three (3) sheets of corrected formal drawings.

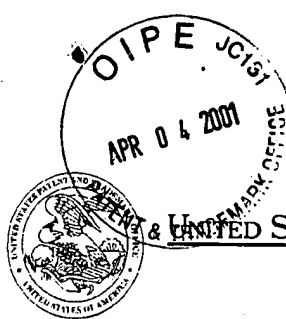
Respectfully submitted,

Date: April 2, 2001


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Registration No. 35,584

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4/2/01 Date	 Sherri A. Moscato



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/731,174	12/06/2000	Gregg R. Olin	21764/161

CONFIRMATION NO. 9101

FORMALITIES LETTER



OC000000005695256

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Rochester, NY 14603

Date Mailed: 01/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/05/2001 FFANAEIA 00000009 09731174

01 FC:205

65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE